

LIST OF APPROVED BROKER / DEALERS
For Fiscal Year 2023
June 21, 2022

PRIMARY DEALERS

Amherst Pierpont Securities
ASL Capital Markets Inc.
Bank of Montreal, Chicago Branch*
Bank of Nova Scotia, New York Agency
BNP Paribas Securities Corp.
Barclays Capital Inc.
BofA Securities, Inc.
Cantor Fitzgerald & Co.
Citigroup Global Markets Inc.
Credit Suisse AG, New York Branch
Daiwa Capital Markets America Inc.
Deutsche Bank Securities Inc.
Goldman, Sachs & Co. LLC
HSBC Securities (USA) Inc.
Jefferies LLC
J.P. Morgan Securities LLC
Mizuho Securities USA Inc.
Morgan Stanley & Co. LLC
NatWest Markets Securities Inc.
Nomura Securities International, Inc.
RBC Capital Markets, LLC
Societe Generale, New York Branch
TD Securities (USA) LLC
UBS Securities LLC.
Wells Fargo Securities, LLC

NON-PRIMARY DEALERS

BNY Mellon Capital Markets
Fifth Third Securities
FHN Financial
Guggenheim Securities, LLC
R.W. Baird & Co.
Mitsubishi UFJ
PNC Capital Markets
Raymond James & Associates
Stifel, Nicolaus & Company

* Name change from BMO Capital Markets Corp. 12/13/2021